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# Aggregation- Induced Emission

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Each review within the volume critically surveys one aspect of that topic and places it within the context of the volume as a whole. The most significant developments of the last 5 to 10 years are presented using selected examples to illustrate the principles discussed. The coverage is not intended to be an exhaustive summary of the field or include large quantities of data, but should rather be conceptual, concentrating on the methodological thinking that will allow the non-specialist reader to understand the information presented.

Contributions also offer an outlook on potential future developments in the field.

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Youhong Tang • Ben Zhong Tang  
Editors

# Aggregation-Induced Emission

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## Preface

Aggregation-induced emission (AIE) is a new branch of luminescent materials research that aims to explore luminescence at the aggregate level. AIE luminogens (AIEgens) often show no emission in solutions at the molecular level but become brightly emissive when their molecular species are aggregated. Aggregation processes generally involve the interplay of competitive or cooperative effects, and new structures, properties, and functions usually appear and diversify in the aggregates. Over the course of the last 20 years, AIE research has made great strides in material development, mechanistic study, and high-tech applications. Meanwhile, research on AIE is an ideal entry point for scientists to explore and unravel the mysteries in the meso world, since AIE is a typical phenomenon showing a nonlinear property change from molecules to aggregates. The past two decades has witnessed booming progress in AIE research. Some examples of the remarkable advances in the field of AIE study in recent years are presented in this topical collection.

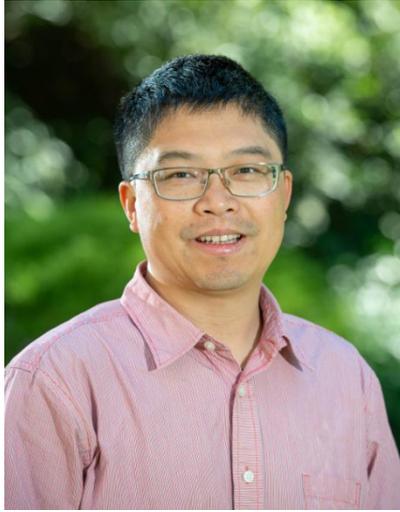
Niu *et al.* summarize recent progress in the design strategy of AIE-based energy transfer systems for light-harvesting, fluorescent probes and theranostic systems, with an emphasis on design strategies to achieve desirable properties. The limitations, challenges, and future opportunities of AIE-excitation energy transfer systems are briefly outlined. The discovery of aggregation-induced electrochemiluminescence (AIECL) in 2017 has opened new research avenues in the quest for novel, more efficient emitters and offered platforms for biological and environmental sensing applications. The great abundance of fluorophores presenting AIE in aqueous media renders AIECL a potentially powerful tool for future diagnostics. De Cola *et al.* give their reflections about the future directions to take for the development of sensing devices based on AIECL. Ye *et al.* highlight recent developments in clusteroluminescence, including mechanistic studies, the disclosure of room-temperature phosphorescence, and the extension of light emission to the longer-wavelength region and also demonstrate a few applications in various fields. Kachwal and Laskar review AIE-active organic mechanofluorochromic materials, i.e., AIEgens with changes in their emissions under anisotropic and isotropic pressures. The relationship between the chemical structures of AIEgens and the change in the emission behaviours under pressures is discussed.

Fery-Forgues and Vanucci-Bacqué encompass the various ways to use benzazole units in solid-state luminescence enhancement systems. They underline the significant progress recently made in the understanding of the photophysical mechanisms involved. Benzazoles are robust building blocks, easily incorporated into a variety of structures which demonstrates advantages taken from these small heterocycles for the design of increasingly efficient AIEgens. Ma reviews the status and envisions the prospects of AIEgens in organic light-emitting diodes, optically pumping organic lasers, organic solar cells and organic photodetectors. It demonstrates that AIEgens are important organic optoelectronic materials.

The enhancement of photoluminescence through formation of molecular aggregates in organic oligomers and conjugated organic polymers is reviewed by Rodrigues and de Melo. Future perspectives for the rational design of AIEgen structures are discussed. Fluorescent gels have numerous properties that are intrinsic to the gel structures, with additional light-emitting properties making them attractive for different applications. Y Tang *et al.* summarize current studies associated with the development of fluorescent gels using AIEgens and propose new directions for future research, especially the biomedical applications in drug delivery, biosensors, bioimaging, and tissue engineering. B Z Tang *et al.* review the recent advances in the design, preparation, performance, and applications of functional synthetic polymer systems with AIE attribute and stimuli responses. Various AIE-based polymer systems responding to single or multiple physical or chemical stimuli are illustrated with specific examples. The current challenges and perspectives on the future development of this research area are also discussed.

Enzyme-responsive peptide-based AIE bioprobes used for biomedical applications are summarized by Lou *et al.* How each aggregation strategy detects enzyme activity and treats the diseases under imaging guidance are discussed and the current problems and future prospects of enzyme-responsive peptide-based AIE bioprobes are explored in this review. Perera and Yan highlight examples where AIEgens are employed as molecular probes in the imaging, discrimination and killing of bacteria, viruses and fungi.

As guest editors, we are sincerely thankful for the great contributions from all the authors and the professional assistance from the editorial office of *Topics in Current Chemistry*. We hope this topical collection will attract broad attention among scientists and technologists and inspire scientific exploration at the aggregate level and high-tech innovation utilizing AIEgens.



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# Aggregation-Induced Emission: From Small Molecules to Polymers—Historical Background, Mechanisms and Photophysics

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## Abstract

The enhancement of photoluminescence through formation of molecular aggregates in organic oligomers and conjugated organic polymers is reviewed. A historical contextualization of aggregation-induced emission (AIE) phenomena is presented. This includes the loose bolt or free rotor effect and J-aggregation phenomena, and discusses their characteristic features, including structures and mechanisms. The basis of both effects is examined in key molecules, with a particular emphasis on the AIE effect occurring in conjugated organic polymers with a polythiophene (PT) skeleton with triphenylethylene (TPE) units. Rigidification of the excited state structure is one of the defining conditions required to obtain AIE, and thus, by changing from a flexible ground state to rigid (quinoidal-like) structures, oligo and PTs are among the most promising emerging molecules alongside with the more extensively used TPE derivatives. Molecular structures moving away from the domination of aggregation-caused quenching to AIE are presented. Future perspectives for the rational design of AIEgen structures are discussed.

**Keywords** AIE · Organic conjugated polymers · Polythiophenes · J-aggregates · Fluorescence

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## 1 Background to the AIE Phenomena: An Historical Perspective

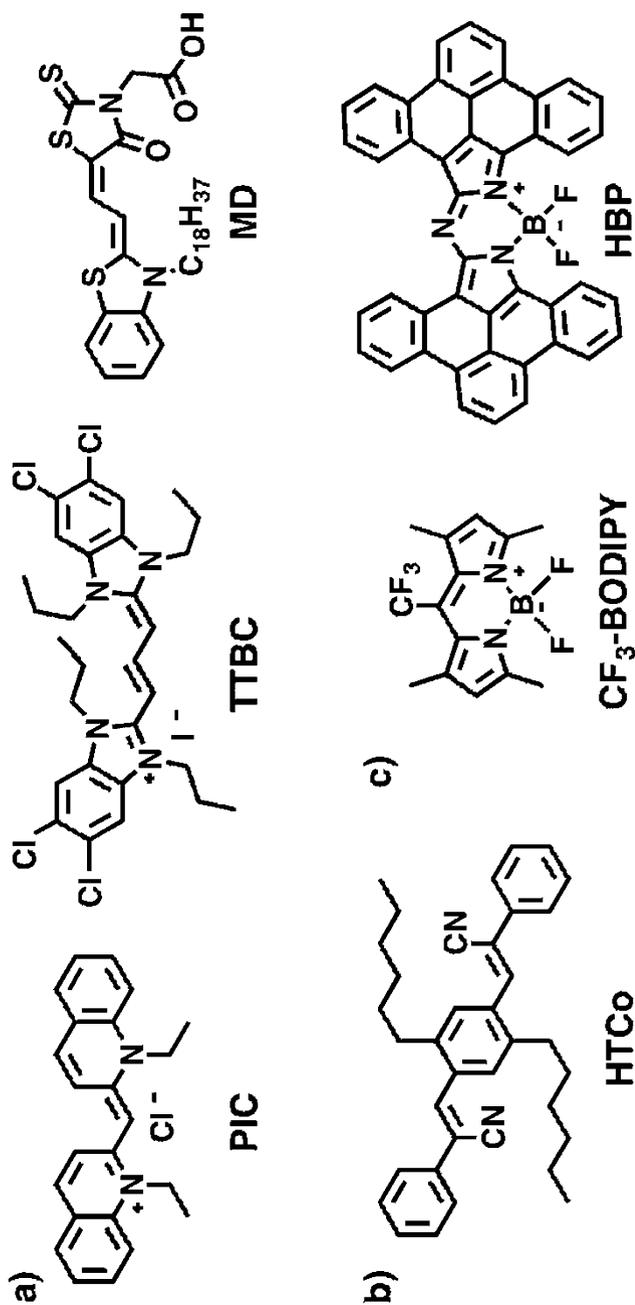
Already 20 years have passed since Tang et al. [1] reported a system that, in 2001, introduced the concept of aggregation-induced emission (AIE) in contrast to the most common opposing phenomenon of quenching of fluorescence occurring in the great majority of fluorophores in solid state as a result of aggregation-caused quenching (ACQ) [2]. Tang et al. pioneered these studies, reporting on the efficient blue emission of 1-methyl-1,2,3,4,5-pentaphenylsilole in thin films, which, upon addition of water (a poor solvent), were shown to induce aggregate formation on these silole derivatives in ethanolic solution [1, 3].

Before the findings Tang et al., there had been only a few reports on enhanced emission in the solid state [4]; these included the enhancement of phosphorescence emission in xanthene dyes (eosin and erythrosine) in mixture of glycerol:water frozen glass [5], excimer emission of hydrocarbons in restricted molecular arrangements [6, 7] and in poor solvent medium [8], and emission of J-aggregates formed in pyridinium-substituted indolizines [9] and in cyanine and merocyanine dyes (Scheme 1) [10, 11]. However, emission enhancement in aggregates, with a new fluorescence band associated with intermolecular interactions, had been reported in the first half of the twentieth century.

### 1.1 Enhancement of Emission from J-Aggregate Formation

In 1936, Scheibe [12] and Jelley [13, 14] independently observed an unusual behaviour of pseudoisocyanine chloride [also known as 1,1'-diethyl-2,2'-cyanine chloride, PIC chloride (Scheme 1)]: in aqueous solution, the absorption maximum of the spectrum shifted to lower energies (red-shift) when compared with the spectrum of the same dye in ethanol; upon increase of the dye concentration in water this band became more intense and sharp [15]. Dye aggregates with a narrow absorption band that is shifted to longer wavelengths (bathochromically shifted), with very small Stokes shift and increased fluorescence intensity relative to the monomer, are generally designated as Scheibe aggregates or J-aggregates (J denotes Jelley after its discoverer) [11, 16]. In contrast, aggregates with absorption bands shifted to shorter wavelengths (hypsochromically shifted) with respect to the monomer band are designated H-aggregates (H denotes hypsochromic) and exhibit, in most cases, low or almost total absence of fluorescence [17]. The mechanisms associated with these interactions are better understood with the Exciton Model of Kasha, but are out of the scope of this review [16, 18, 19]. The AIE effect partially contrasts with the formation of emissive H- (non-emissive) or J- (emissive) aggregates because AIE interaction of two molecules leads to a rigidification of the molecular structure—non mandatory in J-aggregates—thus decreasing the efficiency of the radiationless internal conversion channel and increasing the efficiency of the radiative de-excitation channel.

Enhancement of emission caused by J-aggregates has been described in several systems (Scheme 1). Oelkrug et al. [20] showed the role of J-aggregation in



**Scheme 1** a–c Structures of compounds reported to form emissive J-aggregates. **a** Cyanine and merocyanine derivatives such as 1,1'-diethyl-2,2'-cyanine chloride (PIC chloride); 1,1',3,3'-tetraethyl-5,5',6,6'-tetrachlorobenzimidazolocarbocyanine iodide (TTBC iodide) and (3-carboxymethyl-5-[2-(3-octadecyl-2-benzothiazolylidene)ethylidene]-2-thioxo-4-thiazolidinone (MD), **b** Oligophenylenevinylenes, such as 1,4-bis(α-cyanostyryl)-2,5-di-*n*-hexylbenzene (HTCo), **c** 4,4-Difluoro-4-bora-3a,4a-diaza-s-indacene (BODIPY) systems: CF<sub>3</sub>-BODIPY and hexaphenylazaBODIPY (HBP), which are recently reported aggregation-induced emission (AIE)-luminogens

enhancement of emission in solid state oligophenylenevinyls, with a change in fluorescence quantum yield from practically zero in solution to 60% in nanoparticles or in films, further justifying this phenomenon as arising from the rigid environments provided by viscous solvents, or solid state phases, suppressing the torsion-induced molecular movement associated with nonradiative deactivation [21]. Reports on the formation of J-aggregates in BODIPY systems (4,4-difluoro-4-bora-3a,4a-diaza-s-indacene), and consequently the observation of AIE or aggregation-induced emission enhancement (AIEE) phenomena, were described by Choi et al. [22] with meso-BODIPY derivatives and, more recently, by Sheng et al. [23] in aza-BODIPY. In these works, the substituents were chosen for their proper molecular packing into a J-aggregate structure.

## 1.2 Monomer-Like Emission Enhancement: The “Loose Bolt” or “Free Rotor” Effect

The AIE-luminogen systems first described by Tang et al. [1, 3, 24–26] resulted from intramolecular rigidification as a consequence of intermolecular interaction [27], but, contrary to J-aggregates, show essentially monomer-like emission [28]. Indeed, the AIE effect results mainly from rigidification of the monomer unit, as a result of this (aggregate) interaction.

In general, rigid systems such as polyaromatic hydrocarbons (PAH) display photoluminescence quantum yields higher than their polyene counterparts (identical or similar number of carbon atoms). Although the emission of PAH depends on symmetry considerations (with the relative position of the two lowest singlet lying states having a determinant role), the presence of heteroatom(s), and solvent (polarity and viscosity), they are most often fluorescent compounds. In a simplified view, this can be attributed to the rigid structure of PAH, which contrasts with the degree of freedom of polyenes or other flexible structures [29]. Several examples, some given in Scheme 2, illustrate this molecular rigidity in comparison with flexible analogues. In Scheme 2, the left hand panel corresponds to rigid structures, all with high fluorescence emission efficiency, whereas the right hand panel depicts “flexible” molecular structures (“rotors”), with poor luminescence quantum yields and, generally, high radiationless internal conversion ( $S_1 \sim \rightarrow S_0$ ) deactivation. In the examples given, the twisting motion associated with the skeleton with the double bond(s) becomes locked, and an analogy with the “free rotor” effect, which is now precluded, seems adequate.

In Scheme 2, the structural comparisons can be envisaged as follows. With azabenzene (AB), with  $\phi_F \approx 0$ , simple blocking of the twisting motion leads to the 9,10-diazaphenanthrene (DPA) structure, now with a  $\phi_F = 0.02$  in ethanol and a lifetime of 4.27 ns [30]. With the E- and Z-stilbene structures (the Z-cis structure photoisomerizes in a barrierless process compared with the E-trans structure) [31, 32], which are basically known to be poorly fluorescent at room temperature (with values of  $\phi_F = 0.04–0.05$ , but temperature dependent and at  $T = 83.2\text{ K}$ —another way to rigidify the molecular structure—with  $\phi_F \sim 0.95$  [33]) can, again by blocking the twisting motion associated with the central double bond, lead to the structures Ind-Ind (indeno[2,1-a]indene) and DPCB (1,2-diphenylcyclobutene), which are

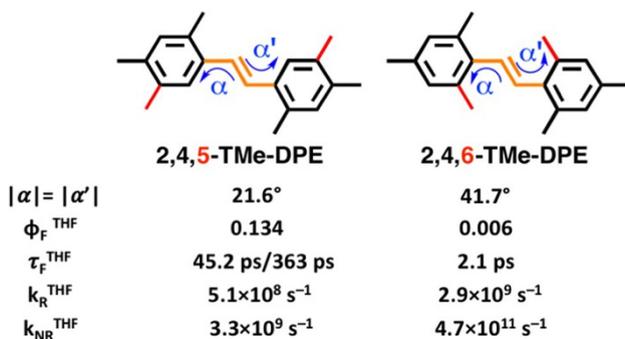


TBA) possess the same chromophoric core (anthracene) and therefore it is not fluorescence deactivation (and associated radiative rate constant) that explains the difference in behavior. Moreover, since triplet formation is found non-populated for 9-(*tert*-butyl)anthracene [39], the large difference between the methyl and *tert*-butyl derivatives is found in the large increase in the internal conversion deactivation in this latter anthracene derivative. This is a consequence of the fact that the process of converting electronic energy into vibrational energy (from  $S_1 \sim \rightarrow S_0$ ) is much more efficient with the *t*-butyl-anthracene derivative, where there are many more low-lying vibrational modes, to absorb this excess energy, than with the methyl derivative. This increase in size of the substituent augments the number of degrees of vibrational freedom of the molecule, and the energy released through internal conversion turns out to be more efficient at the expense of fluorescence emission (the triplet state formation is inoperative). That is, the loose bolt or rotor effect is more effective with 9-(*tert*-butyl)anthracene. An identical effect is seen with other structures such as the meso-*tert*-butyl BODIPY, where a  $S_1/S_0$  conical intersection favors the radiationless deexcitation pathway to the ground state [40]. Recent studies comparing flexible versus more rigid similar structures (see Fig. 1 and associated discussion below), point out the relevance of this structural balance in the discussion of the AIE effect [41].

## 2 Development of AIE-Active Luminogen Molecules

After the account of AIE in pentaphenylsilole [1, 3], another fluorogen found to display this effect was 1,1,2,2-tetraphenylethylene (TPE), again reported by Tang et al. [42]. Although TPE emission has been known for about 50 years [43], in contrast with the poorly emissive *E*- and *Z*-stilbenes (Scheme 2), it was with the discovery by Tang of augmented fluorescence emission of this compound in the crystal and in water:acetonitrile mixtures that this effect began to be deeply explored.

The  $\pi$  twist of the central C=C bond of TPE in the excited state [44–46], and the viscosity-dependent fluorescence enhancement [47, 48] were reported in the 1980s and, by the end of the 1990s, several groups had studied these properties using ultrafast spectroscopy methods [49–51]. The origin of AIE phenomena in TPE is likely to be associated with the loose bolt or free rotor effect [52]. This basically leads to an increase (or decrease) of the coupling modes of the vibronic transitions [52, 53]. In systems where there is the possibility of changing from a C=C double bond to a carbon–carbon bond with partial single bond character (upon excitation), this “loose bolt effect” may therefore be considered as the genesis of the AIE effect. Indeed, most initial compounds showing AIE were based on a TPE central core, i.e., there was, in the excited state, a rigidification of these TPE-like molecules, resulting from aggregate interaction, with a decrease in the radiationless internal conversion decay pathway. Actually, this intermolecular interaction involving TPE molecules would result not in a particular emissive aggregate, as with J-aggregates, but in a structural rigidification of the molecule (in the aggregate state) that would preclude the “loose



**Fig. 1** Structures of 2,4,5-TMe-DPE and 2,4,6-TMe-DPE along with their photophysical data measured in tetrahydrofuran (THF) solution.  $\phi_F$  Fluorescence quantum yield of solution,  $\tau_F$  fluorescence decay times,  $k_R$  radiative rate constant,  $k_{\text{NR}}$  nonradiative rate constant [41]

bolt effect” and therefore the “movement” otherwise associated with this highly efficient internal conversion radiationless deactivation.

The pioneering studies of Tang et al. led to a rapid increase in the number of known luminogens with AIE characteristics (AIEgens) [54, 55] and innumerable AIE materials have been developed [56–58]. Other systems with AIE properties have been rediscovered [e.g., AIE building blocks of quinoline-malonitrile (QM) and polyaryl-substituted pyrrole (PAP) in Scheme 3] [54, 59–62]. To design and rediscover new AIEgen molecules it is therefore of utmost relevance to equate the mechanisms leading to the occurrence of AIE and AIEE. The free rotor or loose bolt effect associated with the introduction of the tert-butyl group (or similar groups with a high number of low-lying vibrational modes) will be of relevance in the design of AIE active polymers described in the section on [Design and photophysical studies of AIE-active polymers](#), and is particularly relevant in the section on [TPE-based conjugated polymers](#) where a tert-butyl derivative increases its photoluminescence yield in the solid state and in a solvent mixture where a bad solvent (water) is dominant.

## 2.1 AIE Working Mechanisms

The working mechanisms of AIE have been investigated extensively [63–65]. One such proposed mechanism is restriction of the intramolecular rotation (RIR) [66], which can be measured through temperature-, viscosity-, and intramolecular-steric-hindrance-dependent luminescence quantum efficiency. Restriction of intramolecular vibration (RIV) [67] was also proposed to account for the strong luminescence in the solid state. A more generalized mechanistic model for AIE was proposed by combining RIR and RIV into a global principle of restriction of intramolecular motions (RIM) [68]. Photocyclization [69–71], suppression of the formation of twisted intramolecular charge transfer state [63, 72–74] and Z/E isomerization [75–77] were other hypotheses proposed to explain the occurrence of AIE. RIM was shown to be the main mechanistic cause for AIE effects observed in many luminogen systems based on pure aromatic molecular rotors such as hexaphenylsilole

(HPS). With TPE, recent studies and interpretations favor both RIM [68] and Z/E isomerization [77] being involved in the emission quenching of TPE in solution. Therefore, blocking or limiting these two mechanisms, by aggregate interaction, will lead to AIE. Nevertheless, this topic is still under debate, particularly regarding which molecular mechanism (RIM or isomerization) plays the major role in the AIE effect [78].

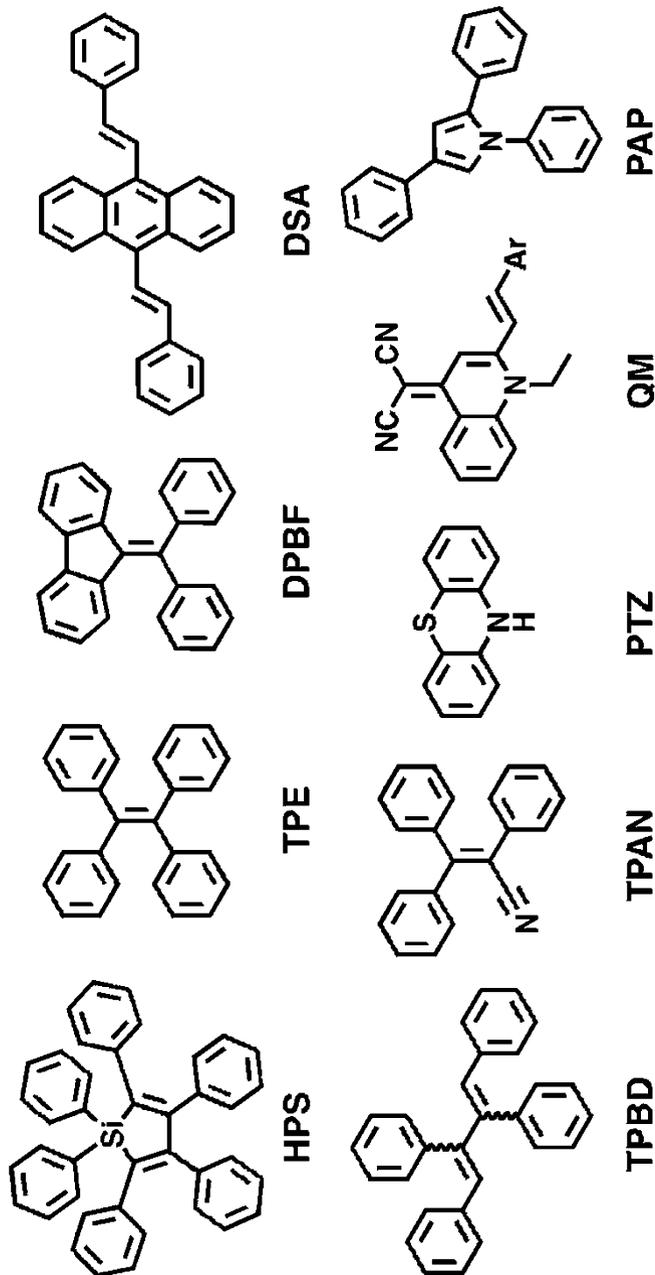
Analysis of the potential energy surfaces (PES) of model propeller-shaped structure also showed that the enhancement of emission in the solid state can be associated with the decrease of the excited state decay caused by nonradiative mechanisms [79]. Moreover, computational theoretical studies indicate that the increase of steric hindrance improves the fluorescence quantum efficiency and that rotation of aromatic substituents and  $\pi$ - $\pi$  stacking interactions are the dominant pathways for the nonradiative decay of AIEgens [80]. Furthermore, the combination of ultrafast photophysical techniques, quantum chemistry calculations and strategic design of new molecules led to three additional proposed AIE mechanisms: restriction of the excited-state “double-bond” torsion (ESDBT) [41], restriction access to conical intersection (RACI) [81, 82] and dark state in heteroatoms systems (RADS) [83].

### 2.1.1 Stilbene-Like Molecules

Aiming to shed light on the potential reasons why some stilbene-like molecules are emissive in solution, whereas other are not, photophysical studies of two model stilbene derivatives, di-*o*-methyl substituted 2,4,6-TMe-DPE and mono-*o*-methyl substituted 2,4,5-TMe-DPE, were recently conducted [41]. While the twisted structure of 2,4,6-TMe-DPE, which exhibits an AIE effect, was poorly fluorescent in tetrahydrofuran (THF), with a fluorescence quantum yield,  $\phi_F=0.006$ , 2,4,5-TMe-DPE, a structurally planar molecule, displayed  $\phi_F=0.134$  in the same solvent (Fig. 1) [41]. Femtosecond transient absorption (fs-TA) measurements for these two molecules in THF showed that two processes are involved in the excited-state deactivation of 2,4,5-TMe-DPE, highlighted by the two time constants ( $\tau_1=45.2$  ps and  $\tau_2=363$  ps), while a much faster process occurs with 2,4,6-TMe-DPE ( $\tau=2.1$  ps). The data also indicated that the twisted 2,4,6-TMe-DPE displays a faster excited-state molecular motion than the structurally planar 2,4,5-TMe-DPE ( $k_{NR}=4.7 \times 10^{11} \text{ s}^{-1}$  vs.  $3.3 \times 10^9 \text{ s}^{-1}$ ), thus showing the clear dominance of the radiationless decay pathways with 2,4,6-TMe-DPE. The restricted molecular motion in the planar 2,4,5-TMe-DPE made the radiative decay comparable with the nonradiative decay, thus clarifying the  $\phi_F=0.134$  obtained in solution.

### 2.1.2 Quantum Mechanical Calculations

Time-dependent density functional theory (TDDFT) quantum mechanical theory was used to perform calculations in the excited state of 2,4,6-TMe-DPE and 2,4,5-TMe-DPE [41]. The authors concluded that most of the excited state energy of 2,4,6-TMe-DPE was released nonradiatively via the excited-state “double-bond” torsion (ESDBT) mechanism; however, ESDBT was suppressed in 2,4,5-TMe-DPE, and this justified its higher radiative rate constant value,  $k_R$  (see Fig. 1) [41].

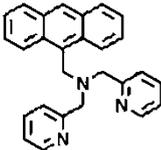


**Scheme 3** Molecular structure of some AIE-active luminogen cores. *HPS* Hexaphenylsiloole; *TPE* tetraphenylethene; *DPBF* diphenylbenzofulvene; *DSA* 9,10-distyrylfluorene; *TPBD* tetraphenyl-1,4-butadiene; *TPAN* tetraphenylacrylonitrile; *PTZ* phenothiazine; *QM* quinoline-malonitrile; *PAP* polyarylyl-substituted pyrrole

In order to further understand the relationship between intramolecular motions and AIE properties, Blancafort and Morokuma conducted independent quantum chemical investigations [81, 84–86]. They analyze the deactivation processes of AIEgens DPBF [85], tetraphenylsilole [86], and phenyleneimide [87] with the RACI (restricted access to a conical intersection) model. The RACI model explains the AIE effect by differences in the radiationless decay rate. Their results predicted a largely distorted structure near the minimum energy conical intersection (MECI). In solution, the MECI is easy to access, which contrasts with the solid state situation, where it becomes difficult to access, and it is prohibited in the crystalline state. Later, by studying different substituted TPE derivatives, Kokado et al. [82, 88] indicated that the C=C twist in the excited state is critical in the occurrence of the AIE phenomenon.

Many of these mechanistical studies were performed to specify the exact motions and decay pathways, making the meaning of RIM more accurate and detailed. However, the behavior of heteroatom-containing AIEgens may not be fully explained by RIM. Indeed, for example, a molecule can change its photophysical behavior from AIE to ACQ characteristics, simply by changing a single heteroatom [89, 90]. Two excited states must be taken into account, and a mechanism, restriction of access to dark state (RADS), is specified to fully equate (in addition to RIM) the complete picture of AIE mechanism. To probe this concept, a nitrogen-containing AIEgen named 9-anthyl-methyl)bis (2-pyridylmethyl)amine (APA in Fig. 2) was chosen as a model compound [83].

From solution to aggregate suspension and then to crystal, the degree of molecular freedom gradually decreases, concomitantly with the increase in  $\phi_F$  (from 0.006 in solution to 0.39 in the crystal) [83]. Also, the radiationless rate constant,  $k_{NR}$ , decreases dramatically (103 times higher in solution than in the crystal) while the radiative rate constant,  $k_R$ , increases more slightly (by a factor of 15) [83]. These results are in agreement with commonly reported RIM results [68]. Surprisingly, the zinc-APA complex shows not only a drastic decrease in  $k_{NR}$  (ca. four orders of magnitude, 5247 higher in solution of the monomer than the complex), but also an enhancement of  $k_R$  with  $\phi_F=0.998$  [83]. This has led to the conclusion that RIM and the availability of lone pairs (to establish  $n,\pi^*$  transitions) may both influence the emission process [83]. Two possible competing decay pathways may be involved: (1) relaxation to the  $S_1$  to reach the emissive ( $\pi,\pi^*$ ); and (2) relaxation to a



	$\phi_F$	$k_R$	$k_{NR}$
THF	0.006	$6.4 \times 10^6 \text{ s}^{-1}$	$1060 \times 10^6 \text{ s}^{-1}$
90W:10THF	0.028	$9.1 \times 10^6 \text{ s}^{-1}$	$316 \times 10^6 \text{ s}^{-1}$
Crystal	0.39	$10.3 \times 10^6 \text{ s}^{-1}$	$16.1 \times 10^6 \text{ s}^{-1}$
Zn-Complex	0.998	$101 \times 10^6 \text{ s}^{-1}$	$0.2 \times 10^6 \text{ s}^{-1}$

**APA**

**Fig. 2** Structures of 9-anthyl-methyl)bis (2-pyridylmethyl)amine (APA) and photophysical data obtained in THF, 90%water:10% THF (90 W:10THF) mixture, in crystal, and with the Zn-APA complex. Fluorescence quantum yield ( $\phi_F$ ), fluorescence decay times ( $\tau_F$ ) and radiative ( $k_R$ ) and nonradiative ( $k_{NR}$ ) rate constants ( $k_{NR}$ ) are given [83]

dark state ( $n, \pi^*$ ), leading to fast non-radiative decay. Since the ( $n, \pi^*$ ) state is lower in energy than ( $\pi, \pi^*$ ), it results in weak fluorescence and short lifetime value [83]. This shows that, even in molecules where the AIE effect is potentially present, the balance between the increase or decrease of photoluminescence may also depend on the nature of the two lowest lying singlet excited states, and not only due to a restriction of the molecular motion process.

### 3 Photophysical Studies of AIE-Luminogens

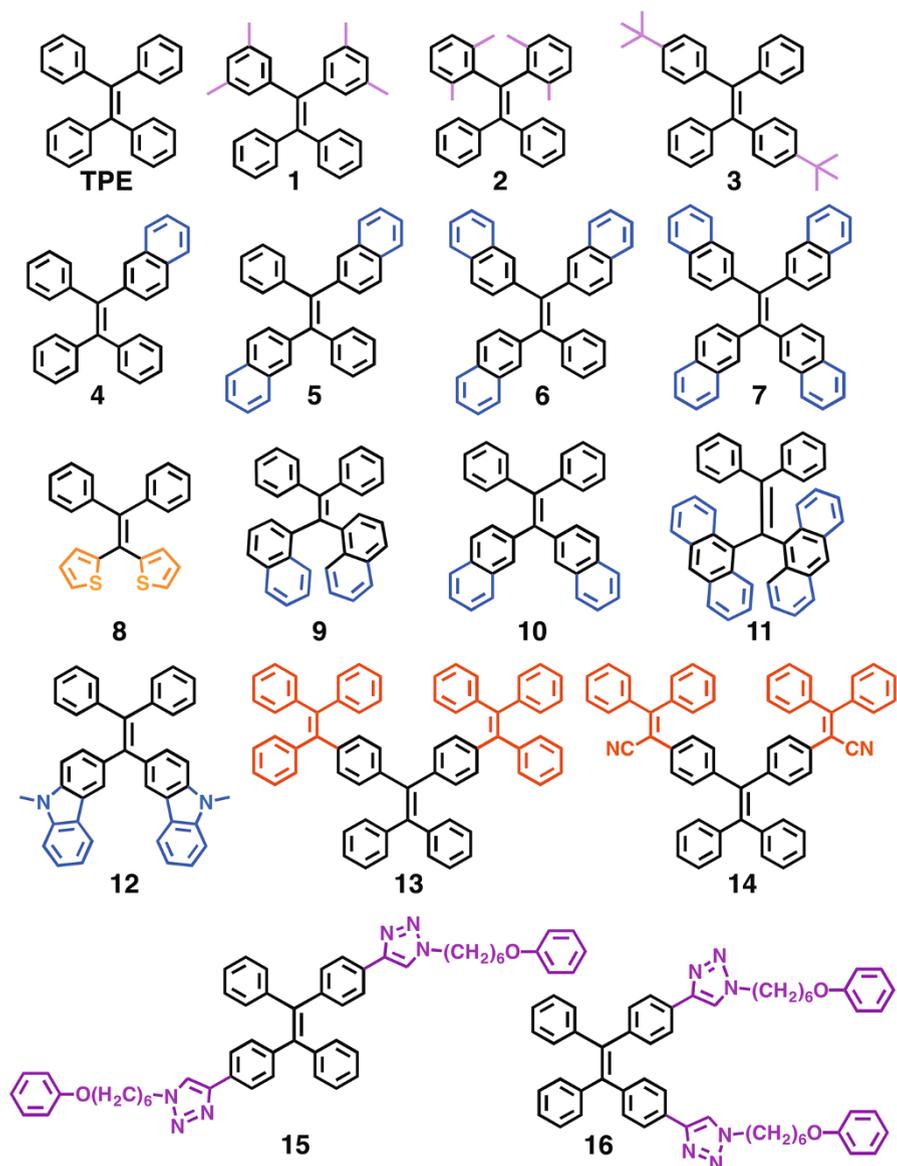
#### 3.1 TPE Derivatives

A good–bad solvent mixture constitutes a consensual strategy to study AIE behavior in dilute solutions of potential AIEgens [91]. Since TPE derivatives are typically aromatic-like (hydrophobic) molecules, water is a poor solvent, and is therefore used as the most common bad solvent in combination with water-miscible good solvents such as THF, acetonitrile, dimethylsulfoxide and 1,4-dioxane. Formation of nanoaggregates in suspension, in solvent–nonsolvent mixtures, may also lead to the Mie scattering effect [92], detected by the appearance of a long wavelength tail in the absorption spectrum [93].

TPE is, as described, one of the most flowing sources of AIEgens. This is in part due to its structural modification (rigidification) in the excited state, but also to the development of simplified strategies of synthesis and derivatization of this molecule [43]. Scheme 4 depicts some illustrative **TPE** derivatives synthesized by several research groups [94–98].

Increases in the photoluminescence efficiency in the solid state, or from a bad-solvent to a good-solvent fraction, may be significantly different depending on the system; this happens even among several TPE derivatives (Table 1). The AIE factor ( $\alpha_{\text{AIE}}$ ), defined as the ratio between the  $\phi_{\text{F}}$  values of the aggregate and of the monomer (isolated molecule in a good solvent), constitutes a parameter that establishes a qualitative manner of measuring the emission increase due to the AIE. Table 1 summarizes some of the relevant literature data on TPE derivatives with data obtained from photophysical studies [94–98]. As noticed in Table 1, the value of  $\alpha_{\text{AIE}}$  is structure dependent, and so is the dominant intramolecular motion, restricted when aggregation occurs, acting as an active dissipation factor for the radiationless deactivation [99]. In Table 1 and Scheme 4, it is worth noting the highest  $\alpha_{\text{AIE}}$  values for compounds **15** and **16**, which are ca. 9.5 times higher than those of TPE.

AIEgens oligomers generally possess twisted conformational structures in the ground state, and hence afford a more moderate packing pattern than traditional luminogens with a planar structure. This facilitates the interplay between different morphologies by heating, solvent fuming, mechanical stimuli such as pressing, grinding and so forth, in particular upon structural change promoted by light excitation [100]. Emission of many AIEgens can be switched through modulating morphology of the luminogen between amorphous and crystalline states [101]. Consequently, several AIEgens present mechanoluminescence (ML) properties [26, 101–106], which have been the subject of extensive reviews in the past 5 years



**Scheme 4** Comparison of TPE and some TPE derivative structures described in the literature. The core structure of TPE is highlighted in black and red (for compound 13)

[100, 107–110]. Among those AIE-active and ML responsive compounds, TPE [108, 111], distyrylanthracene (DSA) [110], diphenylbenzofulvene (DPBF) [26, 101, 106] and phenothiazine (PTZ) [112–116] derivatives are likely to be within the more extensively investigated (Scheme 3).

**Table 1** Comparison of fluorescence quantum yields for tetraphenylethylene (TPE) derivatives in solution, mixture of good solvent/bad solvent and in solid state, both powder and film, where data are available, along with the aggregation-induced emission (AIE) factor ( $\alpha_{\text{AIE}}$ ), which discriminates the enhancement of the fluorescence upon aggregation

Compound	Solution	Good solvent/ bad solvent	Powder	Film	$\alpha_{\text{AIE}}^{\text{a}}$
TPE	0.003	0.25	0.23	0.26	87
1	0.006	0.075	0.30	n.a. <sup>b</sup>	50
2	0.60	0.75	0.98	n.a	1.6
3	0.024	0.20	0.14	0.46	19
4	0.003	n.a	n.a	0.45	56
5	0.003	0.043	0.14	0.12	47
6	0.016	n.a	n.a	0.37	23
7	0.017	n.a	n.a	0.22	13
8	0.002	0.007	n.a	n.a	3.3
9	0.017	0.13	n.a	n.a	7.3
10	0.004	0.04	n.a	n.a	10
11	0.097	0.18	n.a	n.a	1.9
12	0.004	0.13	n.a	n.a	33
13	0.004	0.46	n.a	n.a	115
14	0.003	0.13	n.a	n.a	43
15	0.001	0.39	1.0	n.a	833
16	0.001	0.40	1.0	n.a	833

Compound numbers are related to structures in Scheme 4. Data obtained from [94–98]

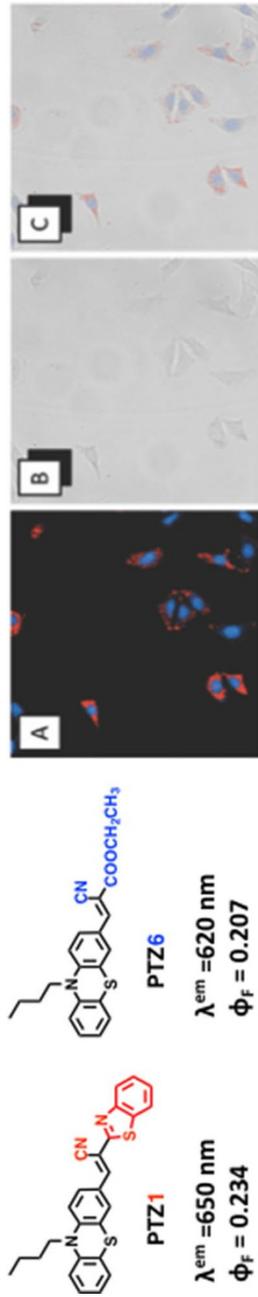
<sup>a</sup> $\alpha_{\text{AIE}} = \Phi_{\text{F}}^{\text{agg}} / \Phi_{\text{F}}^{\text{sol}}$ , where  $\Phi_{\text{F}}^{\text{agg}}$  = highest fluorescence quantum yield of the aggregate form and  $\Phi_{\text{F}}^{\text{sol}}$  = fluorescence quantum yield diluted in solution

<sup>b</sup>Data not available

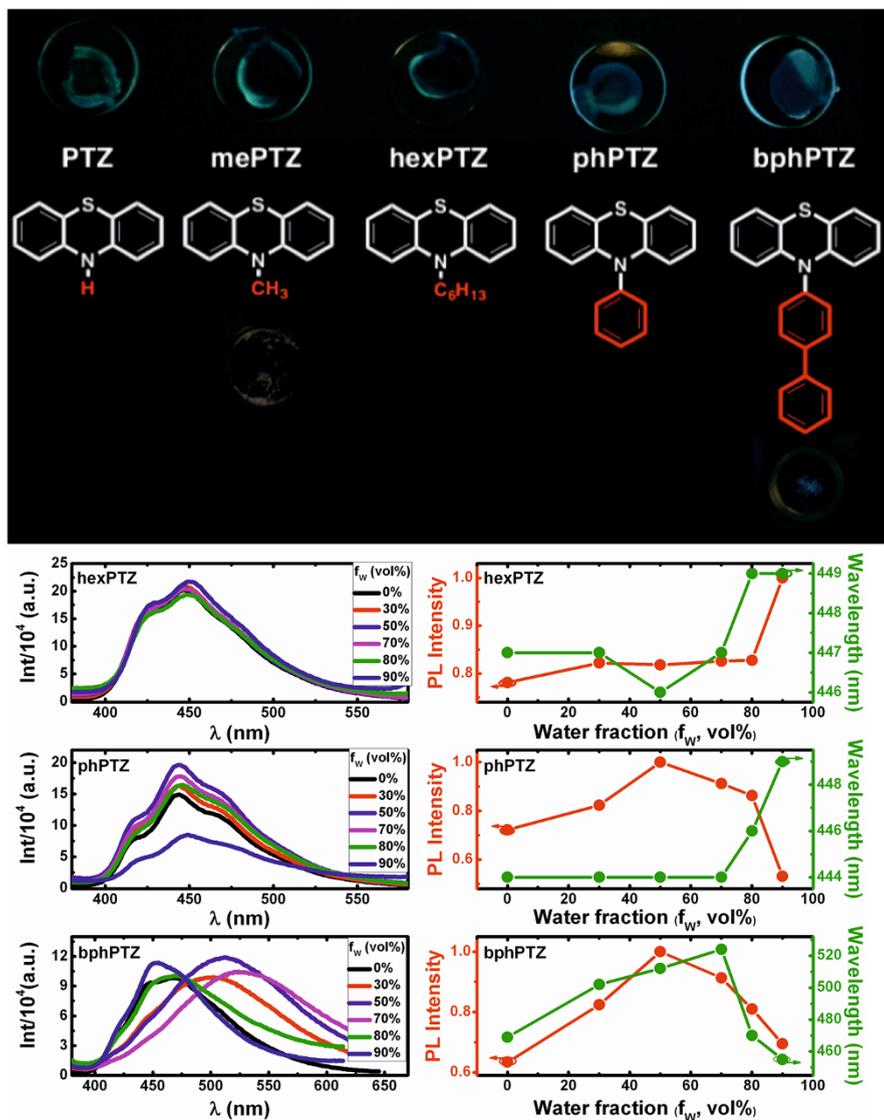
### 3.2 Phenothiazine Derivatives

PTZ is a well-known heterocyclic compound [117], with a twisted butterfly-like structure, with electron-rich nitrogen and sulfur heteroatoms, and therefore with a strong electron donor character. This favors the presence of a lowest lying  $n, \pi^*$  singlet excited state and favors intersystem crossing between the excited singlet and triplet states (with different state configurations) according to El-Sayed rules [118], opening a potential new emissive channel and class of molecules: PTZ-based luminogens with thermally activated delayed fluorescence (TADF) [119, 120]. Due to its non-planar bending “butterfly” shaped conformation [121], PTZ’s ring may avoid intermolecular interactions, such as  $\pi$ - $\pi$  stacking, which are typically responsible for ACQ. This has led to the use of the PTZ group as a basic chromophoric structure platform for the design of new AIE luminogens [122, 123].

Tang et al. [124] prepared a series of phenothiazine-based red/near infrared (NIR) fluorophores, found AIE-active, using 10-butyl-phenothiazine-3-carboxaldehyde as starting material. Among them, PTZ1 and PTZ6 presented higher  $\phi_{\text{F}}$ , of 0.234 and 0.207, respectively, with  $\lambda^{\text{em}} > 610$  nm in the solid state (Fig. 3). In these PTZ compounds, the mechanism of the AIE behavior was further explored



**Fig. 3** Left panel Chemical structures of PTZ1 and PTZ6 along with their respective emission maxima and fluorescence quantum yield in solid state. Images on the right display confocal microscopy images of HeLa cells stained with PTZ6: **a** fluorescence, **b** bright-field and **c** merged (nuclei stained by commercially available nuclei imaging agent Hoechst 33342). Adapted from reference [124], with permission from RSC, Copyright 2019



**Fig. 4** Photophysical studies of *N*-alkyl and *N*-aryl substituted phenothiazines (PTZs). Structures, acronyms and solid state emission (top images thin films, bottom images powder) under UV-illumination. Fluorescence emission spectra for the *N*-substituted PTZs in THF:water mixtures and their respective correlation of photoluminescence intensity and fluorescence emission maxima ( $\lambda^{\text{em}}$ ) with the mixture water fraction ( $f_w = 0-90\%$ ). Adapted from reference [125], with permission from Elsevier, Copyright 2020

[124]. Single-crystal analysis of PTZ1 suggested that the butterfly-shaped geometry was partially responsible for the higher emission efficiency in solid or aggregated states than in solution, with the *n*-butyl group acting as a spacer to

separate neighboring molecules. The high  $\phi_F$  and red/NIR emission made those dyes favorable for biological imaging, with PTZ6 being used to stain HeLa cells. Indeed, PTZ6 was found to accumulate mainly in lipid droplets (LDs), with better performance when compared to traditional LD tracking agents such as Nile red. This selectivity of PTZ6 was suggested to result from the hydrophobic *n*-butyl group.

The design of new PTZ derivatives with AIE properties require a deeper understanding of the photophysical properties and photostability of this class of compounds. A comprehensive study of the photophysical properties of five *N*-alkyl and *N*-aryl substituted PTZs was reported recently (Fig. 4) [125].

The electronic spectral properties (of both singlet and triplet states) and fluorescence quantum yields ( $\phi_F$ ) in solution were found to be poorly affected by substitution. The *N*-methyl and *N*-phenyl substituted phenothiazines, mePTZ and phPTZ, respectively, showed higher  $\phi_F$  values in the solid state, either in thin films or powder, than in solution. It was found that the solid state (powders) of mePTZ and bphPTZ derivatives exhibit room temperature phosphorescence (RTP, Fig. 4). Moreover, and more interestingly, the photostability and AIE properties were found to be dependent on the *N*-substituent (alkyl versus aryl). In polar solvents, PTZ and mePTZ were found to undergo self-sensitized photooxidation upon UV-irradiation while the *N*-aryl substituted phenothiazine, bphPTZ, was found to be photostable. Nonetheless, for the *N*-alkyl derivative, hexPTZ, an increase in total emission, together with a red-shift of emission maxima, was found for the mixture with the highest water fraction content,  $f_w > 80\%$ , thus showing AIE. A different behavior was observed for the *N*-aryl derivatives, phPTZ and bphPTZ, where, after a first increase in total emission up to the fraction mixture  $f_w = 50\%$ , ACQ is observed thereafter, which, also based on TDDFT calculations, was attributed to the adoption of a different conformation for phPTZ and bphPTZ, which was also found to be water (in THF: water mixtures) content dependent.

### 3.3 Distyrylanthracene Derivatives

Distyrylanthracene (DSA), divinylanthracenes (DVA), distyrylbenzene (DSB) and derivatives, have also been reported as stimuli responsive smart materials [126] with high solid-state emission [127]. Tian et al. [128] provided some photophysical insights on the origin of the AIE phenomenon in a series of DSA derivatives (Fig. 5), using steady-state and time-resolved fluorescence.

In dilute solutions, DSA derivatives display a twisted structure in the ground state that, upon excitation, ultimately relaxes, within several picoseconds, to a planar structure [128]. With the DSA derivatives, a more extended conjugation is observed in the excited state (electron cloud more delocalized) leading to a more stabilized and rigid structure. Comparing the derivatives, those with electron-donating groups (BMOSA and BTVA) have higher radiationless rate constant values,  $k_{NR}$ , whereas the DSA-derivative with electron-withdrawing group (BP2VA) display the smallest  $k_{NR}$ . In good solvent solutions, the radiationless decay channel dominates the

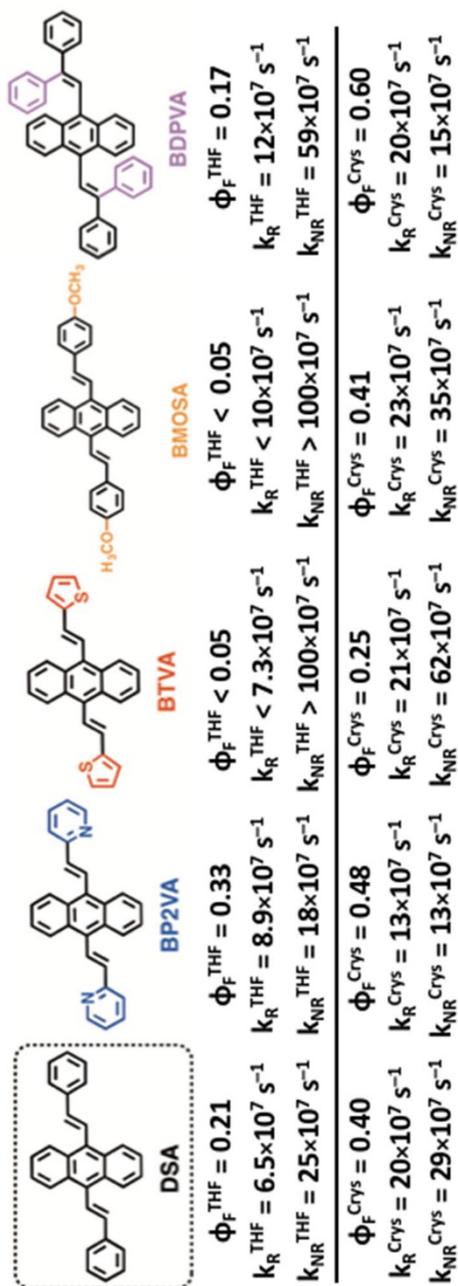
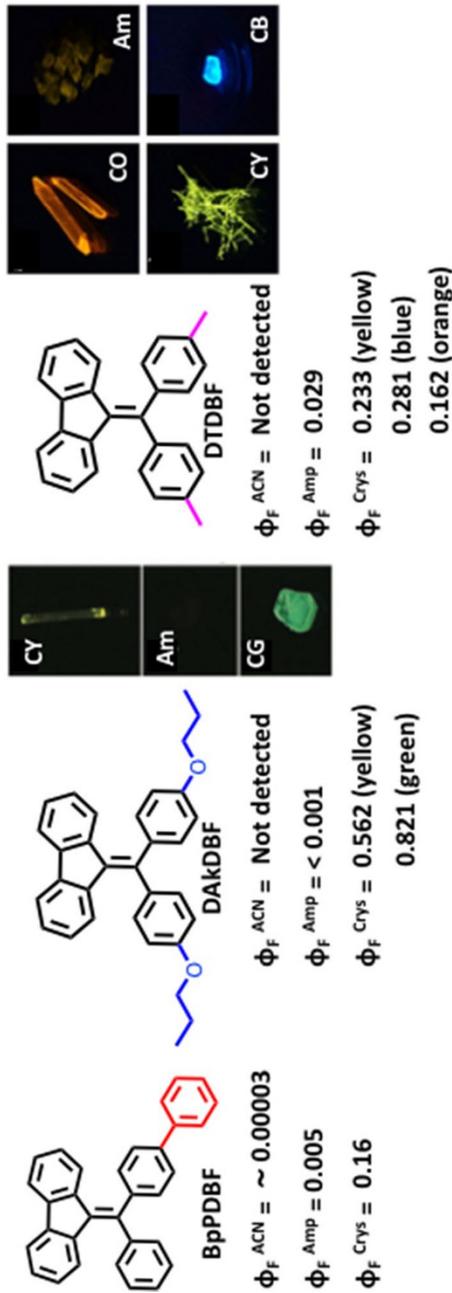


Fig. 5 Structures and acronyms of distyrylanthracene (DSA) derivatives, along with their respective fluorescence quantum yields ( $\phi_F$ ) and rate constants in THF and crystal (Crys) [128]



**Fig. 6** Structures and respective fluorescence quantum yields ( $\phi_F$ ) of diphenylbenzofulvene (DPBF) derivatives BpPDBF, DAKDBF and DTDBF solids. Photos of DAKBDF and DTDBF solids under UV illumination: Am amorphous powder, CY yellow crystal, CG green crystal, CO orange crystal, CB blue crystal. Adapted from Refs. [101] and [106], with permission from Wiley, Copyright 2020

deactivation of the excited state ( $k_{NR}$  is at least three times higher than  $k_R$ ) of DSA and derivatives. In contrast, the nonradiative deactivation is greatly suppressed by molecular stacking in the aggregates, here studied either in single crystal structures or supported in polymethylmethacrylate (PMMA) films, and consequently the fluorescence is significantly enhanced (e.g., for BMOSA,  $\phi_F$  in crystal is over eight times higher than in THF solution).

#### 4 AIE and CIE in Small Molecules

Diphenylbenzofulvene (DPBF) derivatives are known to display another AIE-related property: crystallization-induced emission (CIE). DPBF derivatives are almost non-emissive in solution and amorphous state, but highly emissive in the crystalline state [111]. BpPDBF (Fig. 6) crystals emit an intense blue light upon UV-excitation, while its amorphous powders emit a faint yellow light; in the good solvent acetonitrile, BpPDBF is poorly emissive [26]. Notably, the  $\phi_F$  of BpPDBF crystal is 32 times higher than that of its amorphous powder. The CIE effect is noticed even more dramatically for DAKBDF (Fig. 6); indeed, while its amorphous powder is practically non-emissive ( $<0.001$ ), in its crystal structures it shows high  $\phi_F$  values (0.56–0.82) [106].

Dong et al. [101] replaced alkoxy phenyl groups with tolyl groups with ditolylidibenzofulvene (DTDBF, Fig. 6) leading to a twisted conformation, bulky conjugation core and peripheral tolyl groups. As described with DPBF [24] and other derivatives [25, 129], DTDBF preserved the AIE-active core: while it is nearly non-emissive in pure acetonitrile, its emission intensity was gradually augmented upon gradual addition of water in acetonitrile:water mixtures. For DTDBF, more interesting was to observe three single crystals of this compound with varied emission colors (Fig. 6). The molecular conformations, packing patterns and interactions in the three crystal structures of DTDBF were investigated. Specific strong intermolecular interactions (such as  $\pi$ - $\pi$  stacking or H-/J-aggregates) were

**Table 2** Comparison of the optical and photophysical properties of ditolylidibenzofulvene (DTDBF) solids in crystalline and amorphous states [101]. See sample structures in Fig. 6

Sample	$\lambda^{em}$ (nm) <sup>a</sup>	$\phi_F$ <sup>b</sup>	A1/A2 <sup>c</sup>	$\tau_1$ (ns)	$\tau_2$ (ns)	$k_F \times 10^{-7}$ (s <sup>-1</sup> ) <sup>d</sup>	$k_{NR} \times 10^{-8}$ (s <sup>-1</sup> ) <sup>e</sup>
CO	586	0.162	100/0	1.7		9.53	4.99
CY	545	0.233	100/0	2.9		8.03	2.64
CB	461	0.281	100/0	39.2		0.72	0.18
Am	557	0.029	80/20	0.9	1.9	2.64 <sup>f</sup>	8.83 <sup>f</sup>

<sup>a</sup>Emission maximum

<sup>b</sup>Fluorescence quantum yield determined with an integrating sphere with  $\lambda^{ex} = 340$  nm

<sup>c</sup>Fraction (A, %) and lifetime ( $\tau$ , ns) of shorter (1) or longer lived species (2)

$$^d k_F = \frac{\phi_F}{\tau_F};$$

$$^e k_{NR} = \frac{\tau_F(1-\phi_F)}{\tau_F};$$

<sup>f</sup>Determined with an average lifetime,  $\langle \tau \rangle = 1.1$  ns

ruled out due to the twisted molecular conformations in all the crystal structures of DTDBF. Thus, the emissions of those crystals should occur from a single molecule which depends mainly on its molecular conformation. The asymmetric units of CB, CY and CO contain one, three, and six molecules of DTDBF respectively. The more C–H $\cdots\pi$  interactions presented in the crystal structure, the higher the photoluminescence efficiency (Table 2). The existence of these weak interactions between molecules helps lock the motion of the aryl rings, and, hence, to rigidify the molecular conformation [100], with consequent increase in photoluminescence efficiency due to CIE. While the three crystal structures display single exponential fluorescence decays, the amorphous solid of DTDBF displays a bi-exponential decay, with 80% contribution of the short-lived component and 20% of the long-lived component, suggesting the availability of fast decay processes due to the loose packing pattern (Table 2). The lifetime increases promptly with the number of C–H $\cdots\pi$  interactions when comparing the three crystal structures, which also agrees with the increase in photoluminescence efficiency. Nonetheless, the fluorescence quantum yield in crystalline form is at least five times higher than in amorphous powder.

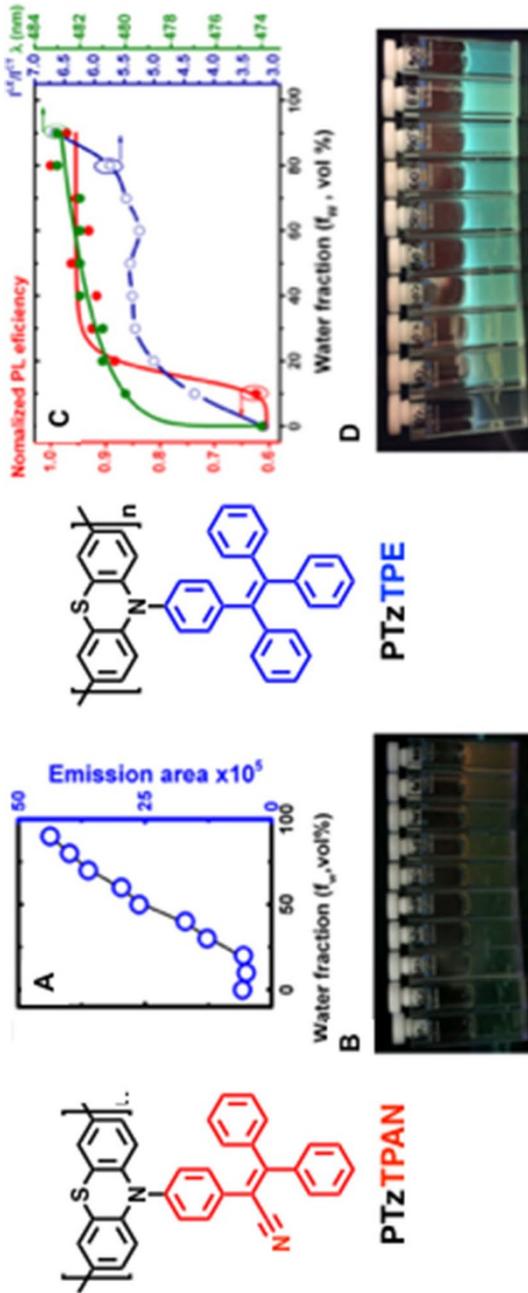
Another luminogen core molecule that matches both ML properties with high emission efficiency in the solid state (CIE) is triphenylacrylonitrile (TPAN), see structure in Fig. 7 [111]. Combination of TPAN with diaryl [130] and tryphenylamine [131, 132] groups introduces donor–acceptor (D–A) characteristics into these systems, making these AIE-active luminogens into molecules with intramolecular charge transfer (ICT) character and  $\phi_F$  values close to 1.0 in solid powder. The TPAN moiety was also attached to the backbone of electron-rich *N*-heterocycles to design D–A polymers that feature AIE properties (see next section) [133–136].

## 5 Design and Photophysical Studies of AIE-Active Polymers

Until 2 years ago the literature regarding the AIE effect in polymers was relatively limited, particularly when compared with oligomers showing this effect. This has generated increased interest, which led to a growth in publications of AIE effect in polymers from ~100 papers in 2016 to 190 in 2019. Although less attention has been devoted to polymers with AIE, several reviews have been published concerning synthetic strategies and smart material applications [56, 57, 137, 138] of AIE polymers, their mechanochromic fluorescent properties [139] and some specific applications of these polymers in biological systems [140] and as detector sensors for explosives [141].

### 5.1 Building AIE-Active Polymers from Small Molecules with AIE Properties

AIE-active polymers built from a combination of polycarbazoles and polytriphenylamines backbones (donor) and TPAN (acceptor) moieties were studied for the detection of 1,3,5-trinitrobenzene (TNB), used as prototypical nitroaromatic explosive, in THF:water mixtures [133]. Detection of TNB by fluorescence spectroscopy

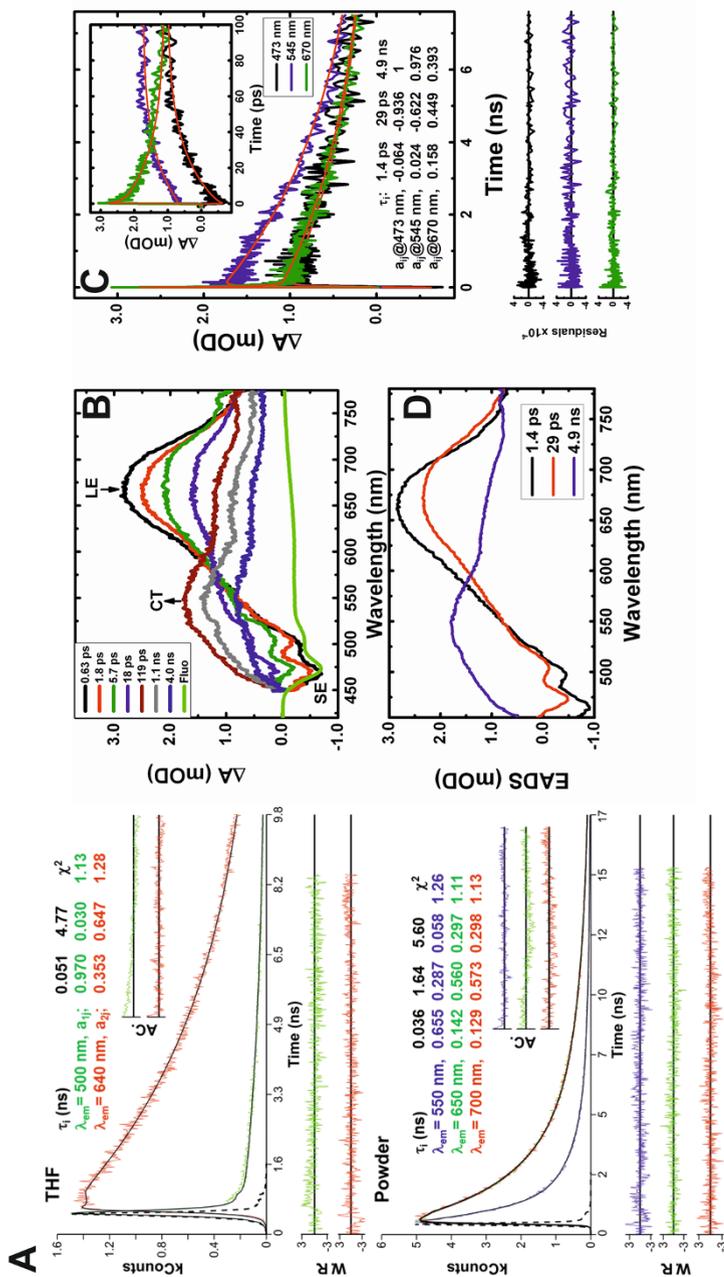


**Fig. 7** Photophysical studies of PTZ-based polymers. PTZ-triphenylacrylonitrile (PTzTPAN): **a** Correlation of emission area with increasing water fraction in THF:water mixtures and **b** photos under UV irradiation (with  $\lambda_{exc} = 365$  nm) of PTzTPAN in THF:water mixtures (from left 0% to right 90% with increments of water fraction (v/v) of 10%). PTZ-tetraphenylethene (PTzTPE): **c** correlation of emission area, maximum emission wavelength and ratio of intensity of locally excited (LE) versus charge transfer (CT) emission band with increasing water fraction for PTzTPE. **d** Photos under UV irradiation green fluorescence emission of PTzTPE in THF:water mixtures (from left 0% to right 90% with increments of water fraction (v/v) of 10%). Adapted from reference [142] with permission from ACS, Copyright 2018

at low polymer concentrations by the quenching of the emission of the polymers upon addition of TNB was investigated. The quenching mechanism was found to be based on electron transfer between the excited state of the polymers and the nitroaromatic analyte [133, 141].

The TPAN structure was also used for the construction of another AIE-active polymer, PTzTPAN (Fig. 7) [142]. With this polymer, the electron-rich backbone used was from another AIE building block: phenothiazine (PTz acronym in the polymer). This PTz-based polymer PTzTPAN, together with PTzTPE, a polymer based on the PTz backbone and the TPE moiety (Fig. 7), were further investigated as AIE luminogens [142].

PTzTPAN displays a significant red-shift ( $\sim 100$  nm) in the photoluminescence spectra on going from THF solution to the solid state, and the polymer was found to be non-emissive in polar solvents, such as DMF, and poorly emissive in non-polar solvents ( $\phi_F=0.006$  in dioxane and 0.002 in THF) and in the solid (powder) with  $\phi_F=0.002$  [142]. In classical good:bad solvent mixtures, THF:water, a clear enhancement of fluorescence emission was observed for mixtures with water fraction ( $f_w$ )  $\geq 40\%$ , also followed by a red-shift of the emission [142]. The red-shift was attributed to the behavior of charge transfer (CT)-type bands with an increase in solvent polarity, in this case through an increase of the water fraction. In the good:bad solvent mixtures,  $\phi_F$  was found to increase five times: 0.002 in THF to 0.010 in  $f_w=90\%$  [142]. With PTzTPE, the increase in the water amount in THF: water mixtures led to a gradual increase of  $\phi_F$  up to  $f_w=40\%$ . Nonetheless, for PTzTPE, a decrease in CT band intensity upon the addition of water was observed [142]. Very interestingly, this showed that, for PTzTPE, concomitant with the gradual increase in the AIE effect, a decrease in CT contribution is observed, thus showing that the latter is in competition with the AIE process. Even more fascinating than the competition between the CT and AIE effects was the fact that, in dioxane:water mixtures, PTzTPE showed ACQ with the gradual increase of water (from  $\phi_F=0.14$  in pure dioxane to 0.042 in  $f_w=90\%$ ). Indeed, selective AIE behaviour in specific solvents was previously reported for D–A systems; the main cause for this was attributed to the transition from the emissive locally excited (LE) state to the dark CT state [72, 143]. Furthermore, time-resolved fluorescence measurements were performed to characterize the coexistence of these states in PTzTPE solution and powder (Fig. 8). In general, the shortest and the longest decay times are associated with the emission of the LE and CT species ( $\tau_1$  and  $\tau_3$ ) with decay times varying from 51 to 103 ps (LE) and 4.7 to 5.6 ns (CT), which can be seen by the pre-exponential factors,  $a_{i1}$ , when the decays are collected at 500 nm (in the LE state emission band) and  $a_{i3}$  for  $\lambda^{em} > 600$  nm (CT band). One of the most interesting features of these decays is the presence of the intermediate decay component ( $\tau_2$ ) in the solid state (powder), dioxane, and in the solvent mixtures with water; in THF, this intermediate lifetime,  $\tau_2$ , is found to be absent (Fig. 8). In dioxane:water and THF:water mixtures, the pre-exponential values associated with this middle decay time ( $\tau_2$ ) component increase concomitantly with the increase in the water fraction. The  $\tau_2$  decay component could therefore be assigned to the emission of the polymer emissive aggregate, which was found to be present even in dioxane. Furthermore, fs-transient absorption (fs-TA) data obtained in THF shed light on the observed behavior, showing that aggregation



**Fig. 8** a Room temperature fluorescence decays for PTzTPE collected with excitation at 404 and 451 nm in THF solution and in the solid state (powder), respectively. **b** Room temperature femtosecond time-resolved transient absorption (fs-TA) data for PTzTPE in THF solution collected with excitation at 430 nm. **c** Representative kinetic traces. **d** Evolution-associated difference spectra (EADS) obtained from global analysis of the TA data. *Insets* in **c** are the decays at shorter times. Adapted from reference [142] with permission from ACS, Copyright 2018

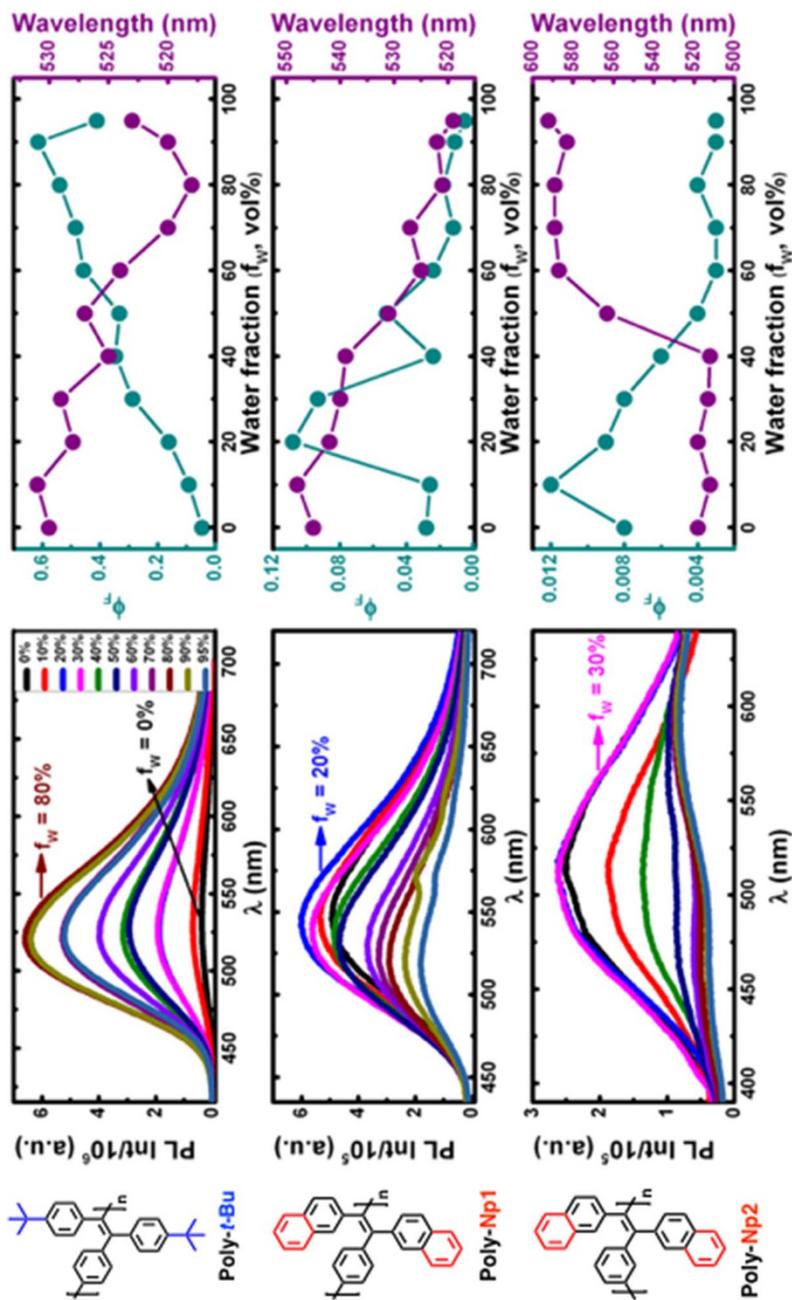
of the PTzTPE polymer indeed does not occur in THF. The fs-TA studies also showed that the CT state was formed both from direct excitation in the ground-state or at the expense of the decay of the LE excited state.

As shown in the previous examples, the general strategy for the preparation of AIE polymers involves incorporation of AIEgens, such as HPS, TPE [136], TPAN and DSA [140], into the backbone structure or grafted onto the polymer skeleton with different synthetic and polymerization strategies [56, 57].

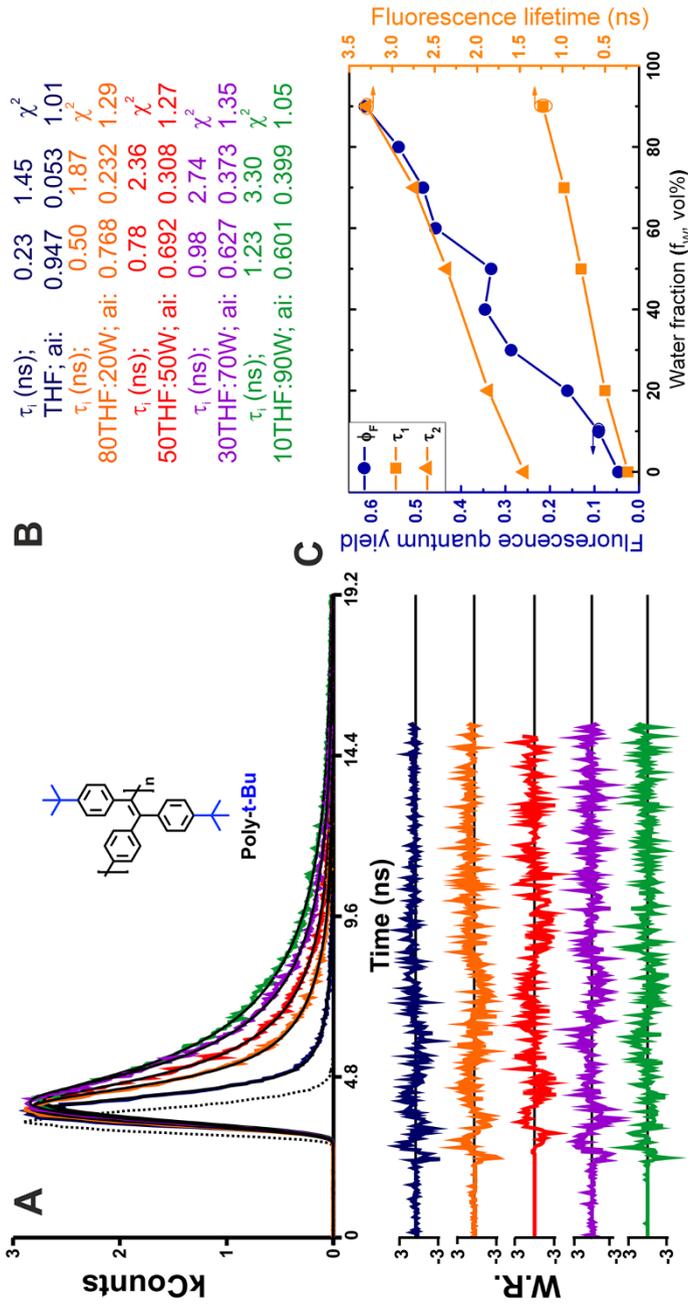
## 5.2 TPE-Based Conjugated Polymers

TPE-based conjugated polymers—poly(arylene-diphenyl-vinylens)—have been known since the 1960s [144]. Some of them show distinct AIE properties leading to high solid-state photoluminescence quantum yields—higher than 70% for some poly-TPEs [145]. The easily functionalized four phenyl rings of the TPE unit were combined with a variety of reactive groups in the synthesis of TPE-based polymers with inherent porosity [146]. The development of microporous organic polymers (MOPs) with very high surface area is an active field of current research [147], with high potential in a variety of applications [145, 148, 149], such as energy storage, light harvesting, catalysis and sensing of hazardous chemicals and explosives. A recent study on the photophysical properties of two types of poly(1,4-phenylene-diarylvinylene)s with 4-tert-butylphenyl (Poly-t-Bu in Fig. 9) or naphthyl (Poly-Np1 and Poly-Np2 in Fig. 9) as aryl substituents at the vinylene units as well as the corresponding poly(1,3-phenylene-dinaphthylvinylene), either in solution or in the solid state (powder and films), was made to evaluate the effect of aggregation on the photoluminescence efficiency, but also the polymer porosity [98].

When AIEgens, such as TPE and derivatives, are chemically incorporated into polymeric structures, they may import their AIE properties [138, 150, 151]. With this in mind, it was anticipated that the polymers Poly-t-Bu, Poly-Np1 and Poly-Np2, whose monomeric model compounds are AIE active, would also maintain their AIE properties. Although Poly-t-Bu showed an increase of fluorescence quantum yield upon the increase of the water fraction, the fluorescence of the naphthyl polymers is quenched with the addition of bad-solvent water in THF:water mixtures. Actually, as shown in Fig. 9, Poly-Np1 and Poly-Np2 presented ACQ. Moreover, the  $\phi_F$  of Poly-Np2 is smaller than Poly-Np1 either in solution (when compared at the same  $f_W$  in THF:water mixtures) or in solid state [98]. The ACQ behaviour of naphthyl polymers may be caused by the  $\pi$ -stacking tendency of the naphthyl groups, leading to the formation of H-type aggregates. However, Poly-t-Bu presented a high photoluminescence quantum yield in solid state with  $\phi_F=0.64$  in film—an increase of ca. 14 times when compared to THF. Moreover, in  $f_W=90\%$ , an almost equal value is obtained,  $\phi_F=0.62$  [98]. This high  $\phi_F$  was found accompanied by distinct microporosity in the solid state with a high Brunauer–Emmett–Teller (BET) surface area,  $S_{BET}$ , value of  $417 \text{ m}^2 \text{ g}^{-1}$ , probably driven by the steric demand of the tert-butyl groups. Conversely, the occurrence of  $\pi$ - $\pi$  interactions may also lead to tight packing of Poly-Np1 and Poly-Np2, since these polymers do not show intrinsic microporosity ( $S_{BET} < 17 \text{ m}^2 \text{ g}^{-1}$ ) [98].



**Fig. 9** Room temperature fluorescence emission [photoluminescence (PL)] spectra of the polymers Poly-t-Bu, Poly-Np1 and Poly-Np2 in THF:water mixtures and their respective correlation of the fluorescence quantum yield and emission maxima with increasing water fraction,  $f_w = 0-95\%$ . Adapted from reference [98] with permission from RSC. Copyright 2020



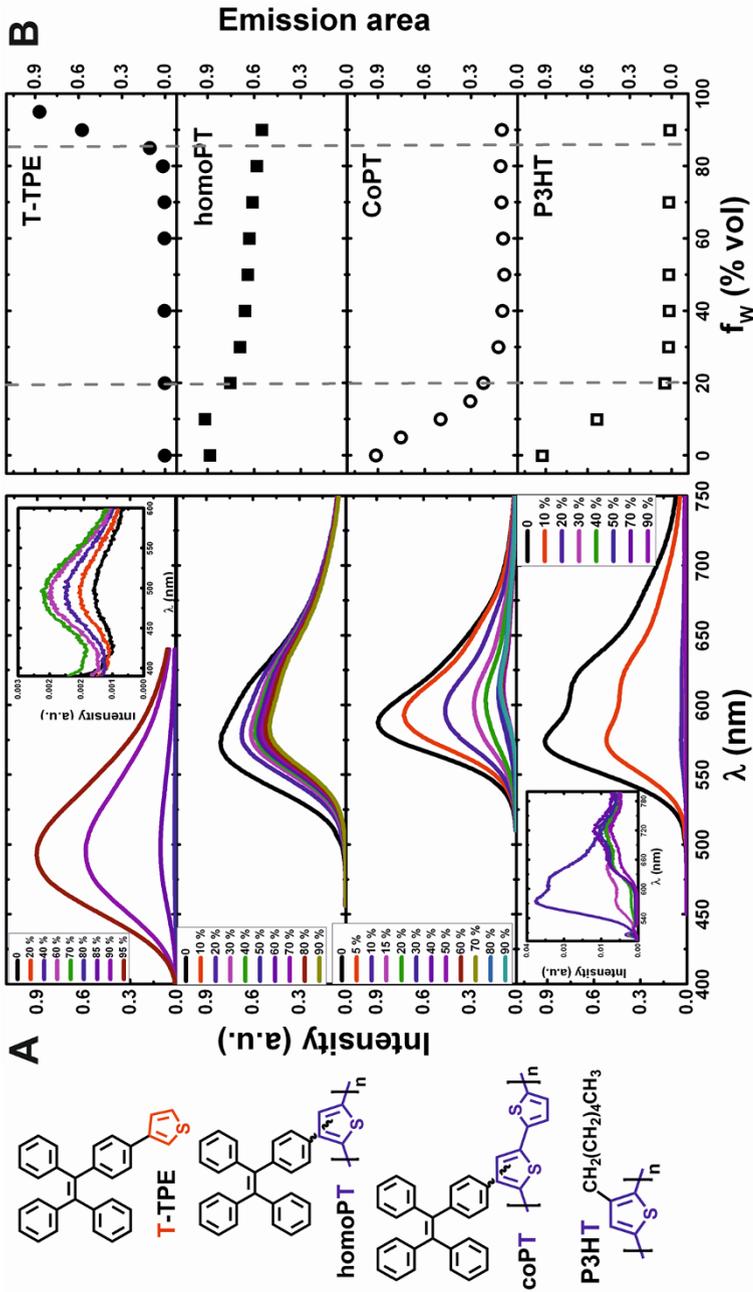
**Fig. 10** **a** Room temperature fluorescence decays for Poly-t-Bu collected in THF solution and in THF:water mixtures. **b** Fluorescence decay times and  $\chi^2$  values of the analyzed decays of Poly-t-Bu in the different THF:water mixture. **c** Correlation of fluorescence quantum yields and fluorescence decay times for Poly-t-Bu with increasing water fraction ( $f_w$ ) in THF:water mixtures. Adapted from reference [98] with permission from RSC, Copyright 2020

Time-resolved fluorescence decays were also obtained in order to gain further insight into the precise nature of the AIE phenomenon in Poly-t-Bu. The fluorescence decays of Poly-t-Bu in THF and THF:water mixtures were found to be well fitted with a bi-exponential decay law, thus indicating the presence of two emitting species in the aggregate region ( $\lambda^{\text{em}} = 525 \text{ nm}$ ) [98]. This possibly indicates that the emissive aggregate results from two main conformers with different contributions to the photoluminescence decay. For Poly-t-Bu in THF, a fast decay component,  $\tau_1$ , in the 0.23–1.2 ns range together with a longer decay time component,  $\tau_2$ , varying from 1.5 to 3.3 ns, were found on going from 0 to 90% water content in THF:water mixtures [98].

As seen in Fig. 10, the fluorescence quantum yields and the fluorescence lifetimes of Poly-t-Bu follow a similar trend, i.e., a concomitant increase of these parameters with the increase in water content. In addition, the determination of the radiative ( $k_{\text{F}}$ ) and radiationless ( $k_{\text{NR}}$ ) rate constants (avoiding average lifetime contributions and instead considering the longer decay time,  $\tau_2$ , usually associated to a higher fractional contribution,  $C_i$ , to the decays) clearly showed a decrease of  $k_{\text{NR}}$  and an increase of  $k_{\text{F}}$  with  $f_{\text{w}}$ . The radiationless decay is therefore dominant up to  $f_{\text{w}} = 70\%$ , and, from there onwards, the radiative deactivation begins to dominate, mirroring a high contribution of this deactivation channel due to the AIE effect [98].

Conjugated polymers (CPs) have great potential in various applications ranging from electronics, aerospace, sensing, catalysis and energy; hence there is intensive investigation of these materials [152]. Their electronic properties are, however, highly dependent on the physical conformation of the polymeric chains and the way these chains pack together in films [153]. An obstacle to their development is the significant fluorescence quenching in the solid state that generally occurs due to strong interchain interaction, as in the example of the polythiophenes [154]. On the other hand, oligo- and poly-thiophenes embrace one of the characteristics associated with the AIE effect: structural rigidification in the excited state. Whereas in molecules where this AIE effect occurs, the generic mechanism involves rigidification associated to close proximity of another molecule (aggregation-induced), in oligo- and poly-thiophenes this essentially results from the quinoidal-like structure (extended  $\pi$ -conjugation), in contrast with the possibility of a more twisted conformer(s) (and this depends on the substitution) in the ground state [155–158]. This obviously paves the way for the study of oligo- and poly-thiophenes as potential AIEgens.

To try to overcome the ACQ effect, two TPE-polythiophenes, homoPT and coPT (structures in Fig. 11), with different degrees of TPE substitution were recently investigated [153]. The introduction of the propeller-shaped TPE units prevent the molecules from packing in a  $\pi$ - $\pi$  stacking process in the polymeric aggregate, therefore precluding emission quenching of these polythiophenes in the solid state. To understand the role of the sterically bulky TPE pendant groups on the inhibition of intra- and/or interchain packing (aggregation) of these conjugated polymers, photophysical studies were performed with poly(3-hexylthiophene), P3HT, with similar average molecular weight, used as a “pure” model polythiophene, and a TPE-thiophene (T-TPE) model oligomeric compound. Unlike what was predicted (TPE



**Fig. 11** Structures and acronyms of model compound and poly-thiophenes. **a** Fluorescence emission spectra and **b** correlation of emission area with increasing water fractions ( $f_w$ ) in THF/water mixtures for the polythiophenes, homoPT, coPT, and P3HT, together with the model compound T-TPE. Adapted from reference [153], with permission from ACS, Copyright 2020

preventing  $\pi$ - $\pi$  stacking and consequent quenching of emission), homoPT and coPT, as well as P3HT, showed lower  $\phi_F$  values in thin films than in solution of good solvents. Among the three polymers, photoluminescence quenching was found higher for P3HT, with a  $\phi_F$  decreasing from 0.19 in toluene to 0.009 in thin films [153]. It is interesting to realize that emission quenching, followed by the red-shifted emission spectra in the solid state, was previously attributed to emission from interchain (aggregate) excited states in P3HT films, where symmetry considerations reduce the intensity of the high energy emission [159]. Amongst the poly(TPE-thiophene) polymers, the quenching was found more pronounced for coPT ( $\phi_F=0.22$  in toluene versus  $\phi_F=0.03$  in the solid film—a ratio of  $\sim 7$ ) than for homoPT (0.13 versus 0.08, a ratio of  $\sim 1.6$ ). These results suggest that for the PT with a TPE substituent in each thiophene unit, homoPT, the higher steric hindrance reduces planarization of the main backbone polymer chain in the film (although imparting rigidification of the skeleton) and thus the ACQ process is less efficient than for the other polythiophene counterparts. To further study these polymers, lead ACQ compounds in solvent-nonsolvent mixtures were investigated in THF:water mixtures and correlated with the monomer T-TPE (Fig. 11).

For T-TPE, a clear enhancement of the emission band was observed for mixtures with a  $f_W \geq 90\%$ , thus showing the presence of the AIE effect. For the polymers, a red-shift of the emission was found on going from THF to  $f_W=90\%$  (where the highest degree of aggregation is expected), see Fig. 11. For the coPT and P3HT polymers, ACQ is clearly dominant, starting immediately from very low water fractions, whereas for homoPT, in THF:water mixtures, suppression of fluorescence emission was less pronounced than for coPT; indeed, only a minor gradual decrease of fluorescence was observed up to the mixture with the highest water content. Thus, contrary to what is observed for the coPT polymer, the characteristic thiophene-TPE monomeric unit of the homoPT polymer restricts (although not completely) formation of non-emissive aggregates. This shows that a higher degree of TPE substituents (as in homoPT) restricts formation of non-emissive aggregates, basically balancing the AIE and ACQ effects (Fig. 11).

Another interesting feature coming from the study of these polymers is the observation from time-resolved fluorescence studies, as a function of temperature, that for homoPT and coPT, the deactivation of the excited state occurs mainly through energy migration along the polymer backbone. Moreover, for P3HT, the excited state decay involves competition between conformational relaxation (associated with decay times in the 12.8–15.4 ps range) and energy migration ( $\sim 80$  ps) [153]. Again, absence of conformational relaxation for TPE-substituted polythiophenes can be attributed to the restriction of torsional movements promoted by the bulky TPE substituents in these polythiophenes. The study showed that, even though the strategy of incorporation AIE-luminogen into the polymer backbone could not circumvent the ACQ effect in all polythiophenes, restriction in the formation of nonemissive aggregates was observed. Indeed, T-TPE showed AIE, and with homoPT the ACQ and AIE effects apparently cancel each other out [153].

## 6 Concluding Remarks

In the past 20 years, and associated with the discovery of the AIE effect, a plethora of AIEgen molecules has been developed. The challenges are now in the development of applications to take real advantage of this effect. Despite the enormous number of structures, the rational design of new structures remains a challenge. The future design of innovative AIE systems, small molecules (oligomers) and polymers, will take advantage of known photophysical mechanisms to prevent ACQ phenomena in solid, aggregate or confined media. Despite the enormous number of studies in the past two decades on this effect, of making emissive molecules that, otherwise isolated, are non-emissive, this seems just the beginning of a chapter of exciting new research. The rediscovery of molecules that may suffer structural changes in the excited state (e.g., molecular rigidity or the decrease of the number of low lying vibrational levels by minimizing the contribution via the loose bolt effect) opens a new route for an enormous variety of AIEgens. Additional strategies to increase the photoluminescence emission in the solid, or aggregate state, will surely include the design of J-aggregate forming compounds, molecules with room temperature phosphorescence and thermally activated delayed fluorescence.

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## Compliance with Ethical Standards

**Conflict of interest** The authors declare that there is no conflict of interests.

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